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Patent

Attorney Docket: 269/206

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: Heijiro OJIMA, et al

Serial No.: 09/990,857

Filed: November 15, 2001

For: METAL LUBRICANTS
CONTAINING A BRIDGE COMPLEX

Group Art Unit: 1764

Examiner: to be assigned

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TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on December 5, 2001, enclosed are:

- ☐ Declaration
- ☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration
- ☒ Assignment of the invention to MEC International Corporation (together with PTO Form 1595)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

LA-225500.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

January 30, 2002

Date of Deposit

Rowena R. Estrada

Name of Person Mailing Paper

Signature of Person Mailing Paper

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

| EXTENSION (months) | FEE FOR SMALL ENTITY | FEE FOR OTHER THAN SMALL ENTITY |
|---------------------------------------|-------------------------|------------------------------------|
| <input type="checkbox"/> one month | \$55.00 | \$110.00 |
| <input type="checkbox"/> two months | \$200.00 | \$400.00 |
| <input type="checkbox"/> three months | \$460.00 | \$920.00 |
| <input type="checkbox"/> four months | \$720.00 | \$1,440.00 |
| <input type="checkbox"/> five months | \$980.00 | \$1,960.00 |

Fee

- ☒ If any extension fee is required, please consider this a petition therefor.

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III. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

| | | | | |
|--|-------|-----------------|-------------------------------------|--------------------------|
| BASIC FILING FEE: | | | | \$740.00 |
| Total Claims | - | 20 | = 0 x \$18.00 | \$0.00 |
| Independent Claims | - | 3 | = 0 x \$84.00 | \$0.00 |
| Multiple Dependent Claims | \$280 | (if applicable) | <input type="checkbox"/> | \$0.00 |
| Surcharge 37 CFR § 1.16(e) | \$130 | (if applicable) | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | |
| Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | <input type="checkbox"/> |
| Extension of Time (from above) | | | | \$0.00 |
| Assignment -- \$40 (if applicable) | | | <input checked="" type="checkbox"/> | \$40.00 |
| TOTAL FEES SUBMITTED HEREWITH | | | | \$40.00 |

IV. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$40.00.



The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Paul H. Meier

Dated: January 30, 2002

By: _____

Paul H. Meier

Reg. No. 32,274



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PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/990,857 | 11/15/2001 | Heijiro Ojima | 269/206 |

22249
LYON & LYON LLP
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES, CA 90071

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CONFIRMATION NO. 2730
FORMALITIES LETTER



Date Mailed: 12/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

R.B.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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